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## *MIDTERM example 2*

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### SI-MKS

Speed of light in free space	$c = 2.99792458 \times 10^8 \text{ m s}^{-1}$
Planck's constant	$\hbar = 6.5821188926 \times 10^{-16} \text{ eV s}$ $\hbar = 1.054571596 \times 10^{-34} \text{ J s}$
Electron charge	$e = 1.602176462 \times 10^{-19} \text{ C}$
Electron mass	$m_0 = 9.10938188 \times 10^{-31} \text{ kg}$
Neutron mass	$m_n = 1.67492716 \times 10^{-27} \text{ kg}$
Proton mass	$m_p = 1.67262158 \times 10^{-27} \text{ kg}$
Boltzmann constant	$k_B = 1.3806503 \times 10^{-23} \text{ J K}^{-1}$ $k_B = 8.617342 \times 10^{-5} \text{ eV K}^{-1}$
Permittivity of free space	$\epsilon_0 = 8.8541878 \times 10^{-12} \text{ F m}^{-1}$
Permeability of free space	$\mu_0 = 4\pi \times 10^{-7} \text{ H m}^{-1}$
Speed of light in free space	$c = 1/\sqrt{\epsilon_0\mu_0}$
Avagadro's number	$N_A = 6.02214199 \times 10^{23} \text{ mol}^{-1}$
Bohr radius	$a_B = 0.52917721 \times 10^{-10} \text{ m}$ $a_B = \frac{4\pi\epsilon_0\hbar^2}{m_0e^2}$
Inverse fine-structure constant	$\alpha^{-1} = 137.0359976$ $\alpha^{-1} = \frac{4\pi\epsilon_0\hbar c}{e^2}$

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**Problem 1**

A one-dimensional crystal with a primitive cell that contains one atom at each lattice site  $x_n = nL$ , where  $n$  is an integer and  $L$  is the nearest neighbor atom spacing, has electron wave function  $\psi_k(x)$  that can be expressed as a direct lattice sum of single-electron Wannier functions  $\phi(x)$  localized around each lattice site  $x_n$ .

(a) Show that  $\psi_k(x) = \sum_n e^{ikx_n} \phi(x - x_n)$  satisfies the Bloch condition  $\psi_k(x + L) = \psi_k(x) e^{ikL}$  for the electron wave function. (40%)

(b) The expectation value of electron energy to first-order is  $E_k = \int \psi_k^*(x) \hat{H} \psi_k(x) dx$ , where  $\hat{H}$  is the Hamiltonian such that  $\hat{H} \psi_k(x) = E_k \psi_k(x)$ . Assuming little overlap between atomic electron wave functions that are separated by two or more nearest neighbor atom spacings and that the overlap integral  $-t = \int \phi^*(x - x_n \pm L) \hat{H} \phi(x - x_n) dx$ , find an expression for the electron dispersion relation,  $E(k)$ , and an expression for the effective electron mass,  $m_{\text{eff}}(k)$ , in the crystal. (60%)

**Problem 2**

(a) Derive the current density  $\mathbf{J} = -\frac{ie\hbar}{2m} \left( \psi \frac{\partial \psi^*}{\partial x} - \psi^* \frac{\partial \psi}{\partial x} \right)$  for a particle mass  $m$  and charge  $e$  moving in a real potential,  $V(x)$ . (40%)

(b) If the particle in (a) is moving in a *complex* one-dimensional potential of the form  $V = V_1 + iV_2$ , where  $V_1$  and  $V_2$  are real constants, show that

$$\mathbf{J} = -\frac{ie\hbar}{2m} \left( \psi \frac{\partial \psi^*}{\partial x} - \psi^* \frac{\partial \psi}{\partial x} \right) - \frac{2eV_2}{\hbar}. \quad (40\%)$$

(c) What physical effect does a positive value of  $V_2$  have on  $\mathbf{J}$ ? If  $V_2 = 0$  and  $\psi = B e^{-\kappa x - i\omega t}$ , where  $B$  and  $\kappa$  are real constants, what is the value of  $\mathbf{J}$ ? (20%)

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**Problem 3**

In classical mechanics, the Hamiltonian for a one-dimensional harmonic oscillator with motion in the  $x$ -direction at frequency  $\omega$  is

$$H = \frac{p^2}{2m_0} + \frac{m_0\omega^2}{2}x^2$$

where  $m_0$  is the mass of the particle and  $p$  is the particle momentum.

(a) Introduce the operator  $\hat{b} = \left(\frac{m_0\omega}{2\hbar}\right)^{1/2}\left(\hat{x} + \frac{i\hat{p}}{m_0\omega}\right)$ , find expressions for  $\hat{x}$  and  $\hat{p}$  in terms of  $\hat{b}$  and  $\hat{b}^\dagger$ , substitute into the Hamiltonian and show that in quantum mechanics  $\hat{H} = \hbar\omega(\hat{b}^\dagger\hat{b} + 1/2)$ . (20%)

(b) Show that the  $n = 0$  ground-state  $|0\rangle$  is defined by  $\hat{b}|0\rangle = 0$ . (20%)

(c) Find the normalized ground-state wave function. (20%)

(d) Derive the standard deviation in position and momentum of each harmonic oscillator state  $|n\rangle$  and show that they satisfy the Heisenberg uncertainty relation. (20%)

(e) If the standard deviation in momentum is  $\Delta p = 5 \times 10^{-25} \text{ kg m s}^{-1}$ , what is the value of the standard deviation in position for the state  $|0\rangle$  and the state  $|3\rangle$ ? (20%)

In answering this question, you may wish to use the standard integral

$$\int_{-\infty}^{\infty} e^{-ax^2} dx = \sqrt{\frac{\pi}{a}}$$

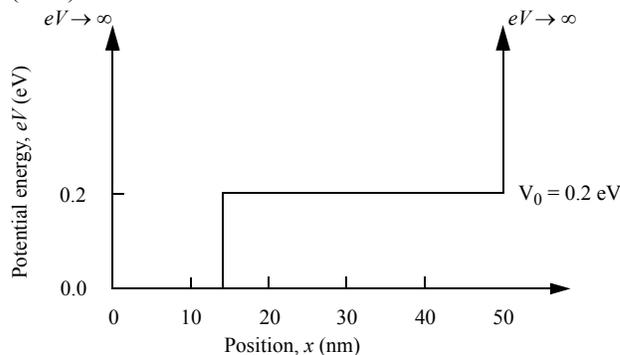
**Problem 4**

The first four energy eigenvalues and eigenfunctions for an electron with effective mass  $m_e^* = 0.07 \times m_0$  confined to the asymmetric potential well sketched in the following figure and bounded by barriers of infinite energy for  $x < 0 \text{ nm}$  and  $x > 50 \text{ nm}$  are:

$E_1 = 0.0248 \text{ eV}$ ,  $E_2 = 0.0973 \text{ eV}$ ,  $E_3 = 0.2000 \text{ eV}$ ,  $E_4 = 0.2062 \text{ eV}$ .

(a) Sketch the corresponding wave functions and explain the qualitative differences between each wave function. (50%)

(b) What can you say about the dipole matrix elements  $\langle 1|x|3\rangle$  and  $\langle 1|x|4\rangle$  for optical transitions? (50%)



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